September 2, 1997 FOR PUBLICATION IN THE SUPREME COURT OF TENNESSEE AT NASHVILLE September 2, 1997 IN RE: ESTATE OF CARLTON ELLIOTT (Cecil W. Crowson WALTON, DECEASED, JEFFREY O. (**Appellate Court Clerk** 13 WALTON, ADMINISTRATOR, (Plaintiff-Appellant, (Davidson Probate (Hon. Frank G. Clement, Jr., v. Judge No. 01S01-9612-PB-00252 23 LESLIE YOUNG, Defendant-Appellee. For Plaintiff-Appellant: For Defendant-Appellee: Jimmy A. Duncan Jack Green Nashville Nashville Dan R. Alexander Nashville OPINION JUDGMENT OF COURT OF APPEALS 55 REVERSED; JUDGMENT OF TRIAL COURT

Reid, J.

56 AFFIRMED; CASE REMANDED.

This case presents for review the decision of the Court of Appeals reversing the trial court's denial of a claim of paternity.

For the reasons set forth, the decision of the Court of Appeals is

4 reversed and the case is remanded.

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6 I

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The decedent, Carlton E. Walton, died intestate. The decedent's son, Jeffrey O. Walton, qualified as the administrator of the decedent's estate. The petition filed in probate court states that Jeffrey O. Walton is the only child of the decedent.

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Leslie Young, nee, Jackson, the claimant against the
estate, filed a petition in the probate court alleging that she is
the natural or biological child of the decedent, and, therefore,
entitled to inherit from him according to the law of intestate
succession.

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19 The case was heard by the trial judge without a jury. proof shows that when Young was born on May 9, 1972 her mother, Donna 20 Fowler, had been married to Frank Jackson, Jr. for more than three 21 years. The certificate of birth lists Jackson as the father. 22 Fowler and Jackson were divorced in March of 1973, remarried in 23 24 November of 1973, and divorced again in 1984. In addition, Fowler 25 filed another complaint for divorce which was dismissed. In all of 26 the divorce proceedings and proof, the parties asserted that Jackson was the father of the claimant. In the last divorce case, Jackson 27 28 was awarded custody of the claimant and she lived with him until she 29 was 16 years old.

1 According to Young's testimony, Fowler told her when she 2 was 13 that Jackson was not her father, but Fowler did not tell her 3 the decedent was her father until she was 18. Fowler testified that when she first learned that she was pregnant, she informed Jackson 5 and told him the decedent was the father. She also confirmed Young's testimony regarding her conversations with Young when she was 13 and 6 7 18. Fowler testified that she continued to have sexual relations with the decedent intermittently until his death. According to 8 9 Jackson's testimony, he told Young when she was 13 that the decedent

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was her father.

12 When Young was about 18, she sought out the decedent and
13 they developed a relationship. The evidence regarding the nature of
14 their relationship is not altogether consistent. Young lived with
15 the decedent for short periods of time for several years before his
16 death and during that time the decedent assisted Young financially.

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According to an expert witness, blood tests of Young,

19 Fowler, and Jackson show conclusively that Jackson is not Young's

20 biological father.

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22 The trial court found that Young failed to prove by clear 23 and convincing evidence that the decedent was her biological father 24 and dismissed her petition. The Court of Appeals, in its <u>de novo</u> 25 consideration of the evidence, found that the decedent was the 26 biological father of the claimant.

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28 II

1 Α 2 3 The claimant relies upon Tenn. Code Ann. § 31-2-105(a)(2)(B) as authority for her assertion of a right to intestate 5 succession. The pertinent provisions of that section are: 6 7 If, for purposes of intestate succession, a 8 relationship of parent and child must be 9 established to determine succession by, through, 10 or from a person: ... a person born out of wedlock is a child of the mother. 11 That person is also a child of the father, if: ... The 12 paternity is established by an adjudication 13 14 before the death of the father or is established 15 thereafter by clear and convincing proof, 16 17 18 19 Tenn. Code Ann. § 31-2-105(a)(2)(B) (Supp. 1996). 20 21 22 23 This Court recently reviewed the procedure for asserting 24 rights of inheritance pursuant to Tenn. Code Ann. § 31-2-105 in 25 Bilbrey v. Smithers, 937 S.W.2d 803 (Tenn. 1996); see also Allen v. Harvey, 568 S.W.2d 829 (Tenn. 1978). Although not raised in the 26 27 trial court nor noted by the Court of Appeals, there is one important factual difference between Bilbrey and Allen and the instant case. 28 29 In the prior cases, the mother of the person claiming paternity was not married when the child was conceived or born. In the instant case, the claimant's mother was married at the time the claimant was 31 32 conceived and born. The issue of paternity cannot be considered until there has been a determination that the claimant was "born out of wedlock" within the meaning of Tenn. Code Ann. § 31-2-105. 34 35 Whether a child whose mother was married at the time of the child's birth is a child "born out of wedlock," and therefore has standing to 37 assert a claim of inheritance, has not been decided by this Court.

1 In view of the Court's finding that the proof fails to establish her

 2 claim, that issue need not be considered in this case. 1

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6 The only issue considered in the trial court and in the

7 Court of Appeals, and the only issue presented by the parties on this

8 appeal, is whether the claimant established paternity by clear and

9 convincing proof. As previously stated, this Court finds that the

10 claimant failed to carry her burden of proof.

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12 The proof shows that claimant Young is not the biological

13 child of Jackson, who was married to her mother at the time she was

14 conceived and born. Of course, that proof does not establish the

15 identity of the claimant's father.

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17 On every significant issue the evidence is equivocal.

18 Fowler testified that Young was conceived while she and Jackson were

19 separated, and Jackson in his testimony confirmed that the child was

Contrary to the statement by the Court of Appeals in In Re Estate of Armstrong (Adams v. Manis), 859 S.W.2d 323, 327 (Tenn. App. 1993)), Tenn. Code Ann. § 31-2-105 does not define "a person born out of wedlock." Relevant to the issue in Armstrong and the instant case, the statute provides: "A person born out wedlock is . . . a child of the father, if . . . The paternity is established . . . [after] the death of the father . . . by clear and convincing proof." See 41 Am. Jur. 2d, Illegitimate Children § 28 (1995):

In many jurisdictions, where the legitimacy or illegitimacy of a child born in wedlock is in issue, neither the husband nor the wife may testify to non-access between them, unless it is otherwise provided by statute. In some jurisdictions, the inhibition is not confined to testimony of non-access, but prevents any testimony of the husband or the wife tending to illegitimatize the issue of their marriage.

Other jurisdictions, however, admit testimony of non-access from any knowledgeable witness, including the spouses themselves.

1 not his. Fowler also testified that she and the decedent were having

- 2 sexual relations regularly during the time the child was conceived.
- 3 However, Fowler's testimony does not foreclose the possibility that
- 4 another person was the father.

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6 The proof shows that the decedent referred to Young as his

- 7 "young'n," which apparently is a colloquial expression for "young
- 8 one." However, the proof also shows that the decedent had, in the
- 9 best light, a fatherly penchant for young women and that he had
- 10 similar relationships with at least three other young women. Those
- 11 relationships included living together, providing financial
- 12 assistance, and referring to each as his "young'n."

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- 14 The evidence that the decedent acknowledged Young as his
- 15 child is conflicting. The testimony of several casual acquaintances
- 16 indicated that the decedent referred to Young as his child; however,
- 17 the decedent's sister and a long time lover/friend both testified
- 18 that they never heard him say Young was his child.

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- 20 Obviously, the critical evidence is the testimony of
- 21 Fowler. Based on this record, neither Young nor Jackson know the
- 22 identity of Young's father, nor did the decedent. Fowler was the
- 23 source of their information; consequently, the probative value of the
- 24 testimony of Young and Jackson, as well as that of Fowler, depends
- 25 upon Fowler's credibility. The evidence as it relates to this
- 26 determinative issue was summarized by the trial court:

- What is for me to determine today is whether or
- 29 not [Young] has established by clear and
- 30 convincing evidence that the decedent Carl Walton

was her father. ... I cannot overlook the fact that the mother [Fowler] made representations for years in more than one lawsuit that [Jackson] was the father and, in essence, required or had the ability to require that Mr. Jackson financially support and raise [Young], but now that that financial obligation is gone she does a hundred and eighty degree reversal and claims that Mr. Walton was the father.

. . . [T]he only evidence that's been introduced through [Fowler] Yet her credibility has been impeached because she has been shown to have sworn under oath to the contrary. Several witnesses testify that the decedent Carl Walton claimed that [Young] was his daughter and that [Young's] son was his grandson. Others have taken issue with that and claimed that what he was really saying was that she was his young'n and/or that he called lots of young people his young'n and/or that he stated, well, she's -- I'm not saying she's my daughter, I'm saying that her mother says she's my daughter.

basis upon which Mr. Walton believed, if he did believe, that he was the father was based upon a representation by Ms. Fowler and since her credibility has been challenged and she has been shown to have testified falsely in other matters, I can only determine that the petitioner has failed to convince this Court by clear and convincing evidence that the decedent was her biological father and therefore the petition is denied.

The standard for reviewing the trial court's decision is de

- 40 novo accompanied by a presumption of correctness, giving great weight
- 41 to the trial court's determinations of credibility. "Unless
- 42 otherwise required by statute, review of findings of fact by the
- 43 trial court in civil actions shall be de novo upon the record of the
- 44 trial court, accompanied by a presumption of the correctness of the
- 45 finding, unless the preponderance of the evidence is otherwise."
- 46 Tenn. R. App. P. 13(d). As stated in Randolph v. Randolph, 937
- 47 S.W.2d 815, 819 (Tenn. 1996),

We review the findings of fact by the trial court de novo upon the record of the trial court, accompanied by a presumption of the correctness of the findings, unless the preponderance of the evidence is otherwise. Tenn. R. App. P. 13(d). Because the trial judge is in a better position to weigh and evaluate the credibility of the witnesses who testify orally, we give great weight to the trial judge's findings on issues involving credibility of witnesses. Gillock v. Board of Professional Responsibility, 656 S.W.2d 365, 367 (Tenn. 1983).

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- 16 See also Wright v. City of Knoxville, 898 S.W.2d 177, 181 (Tenn.
- 17 1995)("Because this case was tried without a jury, our review of the
- 18 issues of fact is de novo on the record of the trial court. However,
- 19 we must presume that the trial court's findings were correct unless
- 20 the preponderance of the evidence is otherwise.").

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- 22 The record supports the trial court's determination that
- 23 the claimant's evidence does not reach the standard of clear and
- 24 convincing.

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26 The "clear and convincing" standard falls 27 somewhere between the "preponderance of the evidence" in civil cases and the "beyond a 28 29 reasonable doubt" standard in criminal 30 proceedings. To be "clear and convincing," the evidence must "produce in the mind of the trier 31 32 of facts a firm belief or conviction as to the allegations sought to be established." Hobson v. 33 34 Eaton, 399 F.2d 781, 784 n. 2 (6th Cir. 1968), 35 <u>cert.</u> <u>denied</u>, 394 U.S. 928 (1969). "Clear and 36 convincing evidence means evidence in which there 37 is no serious or substantial doubt about the 38 correctness of the conclusions drawn from the evidence." Hodges v. S.C. Toof & Co., 833 S.W.2d 39 896, 901 n. 3 (Tenn. 1992). <u>See e.g. In re</u> 40 41 Estate of Armstrong, 859 S.W.2d 323, 328 (Tenn. 42 App. 1993).

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46 <u>Fruge v. Doe</u>, ___ S.W.2d ___, ___ n.2 (Tenn. 1997).

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              The evidence does not preponderate against the trial
   court's finding that the claimant failed to prove paternity by clear
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   and convincing evidence.
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              The judgment of the Court of Appeals is reversed, the
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   judgment of the trial court is affirmed, and the case is remanded to
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   the trial court.
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             Costs are taxed against the appellee, Leslie Young.
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                                       Reid, J.
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16 Concur:
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18 Anderson, C.J., Drowota, Birch,
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     and Holder, JJ.
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